

Annexure – B

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

Data for month ending April 2025

| S N | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time (in days) |
|--------|----------------------------|---|---------------------------------|------------------|-----------|---|---|--|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 ** | 5 | 6 | 7 | | 8 |
| 1 | Directly from Investors | - | - | - | - | | - | |
| 2 | SEBI (SCORES 2.0) | - | - - | := | - | - | | - |
| 3 | Stock Exchanges | - | - | - | - | - | | - |
| 4 | Other Sources (if any) | - | - | - | - | - | | - |
| 5 | Grand Total | - | - | - | - | | | - |



hpmgshares.com

HPMG Shares and Securities Pvt. Ltd.
B-201/202 Rajkamal, S V Road, Next to Shreeji
Arcade, Kandivali West Mumbai - 400 067.
Tel:(B)+91 22 62317600, (F)+91 22 62317689
Email:info@hpmgshares.com

CIN NO.:U67190MH2007PTC176790 SEBI Regd.No.: DP CDSL :IN -DP-163-2015

NSE/BSE/MSEIL: INZ000187530

Merchant Banker Category I: INM000012607



Trend of monthly disposal of complaints

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|------------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April - 2025 | - | | - | - |
| 2 | May - 2025 | | | | |
| 3 | June - 2025 | V . | | | |
| 4 | July - 2025 | | | | |
| 5 | August - 2025 | | | | |
| 6 | September - 2025 | | | | |
| 7 | October - 2025 | | | | |
| 8 | November - 2025 | | | | |
| 9 | December - 2025 | | | • | |
| 10 | January - 2026 | ** | | | |
| 11 | February - 2026 | - | | | |
| 12 | March - 2026 | | | | |
| | Grand Total | - | - | - | - |

^{*}Should include complaints of previous months resolved in the current month, if any.

Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received During the year | Received During the year | Pending at the end of the year |
|----|-------------|--|--------------------------------|--------------------------------|--------------------------------|
| 1 | 2021-22 | - | - | - | - |
| 2 | 2022-23 | - | - | - | PF. |
| 3 | 2023-24 | - | | - | - |
| 4 | 2024-25 | - | | - | - |
| 5 | 2025-26 | - | | | |
| | Grand Total | 0 | 0 | 0 | 0 |



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^{**}Should include total complaints pending as on the last day of the month, if any.

[^]Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.